

NATIONAL
PREA
RESOURCE
CENTER



BJA
Bureau of Justice Assistance
U.S. Department of Justice

**PREA COMPLIANCE AUDIT TOOL
CHECKLIST OF POLICIES/PROCEDURES AND OTHER DOCUMENTS**

Prisons and Jails

05/03/2013

Standard	Policies/Procedures/Other Documents		
		Pre-Audit	During Audit
PREVENTION PLANNING	§115.11 - Zero tolerance of sexual abuse and sexual harassment; PREA coordinator	<input type="checkbox"/> Agency policy mandating zero tolerance toward all forms of sexual abuse and sexual harassment in facilities operated directly or under contract. <input type="checkbox"/> Facility policy outlining how facility will implement the agency's zero-tolerance approach to preventing, detecting, and responding to sexual abuse and sexual harassment. <input type="checkbox"/> Agency organizational chart.	NA
	§115.12 - Contracting with other entities for the confinement of inmates	<input type="checkbox"/> Contracts for the confinement of inmates entered into (or renewed) after August 20, 2012 or since the last PREA audit.	<input type="checkbox"/> Additional sample of contracts for the confinement of inmates entered into (or renewed) after August 20, 2012 or since the last PREA audit.
	§115.13 – Supervision and Monitoring	<input type="checkbox"/> Policy requiring that intermediate-level and higher-level staff conduct unannounced rounds to identify and deter staff sexual abuse and sexual harassment <input type="checkbox"/> Documentation of staffing plan development process. <input type="checkbox"/> Staffing plan <input type="checkbox"/> Documentation of deviations from the staffing plans and written justifications for all such deviations. <input type="checkbox"/> Documentation of annual reviews (after first year audit). <input type="checkbox"/> Documentation of unannounced rounds (e.g., housing unit logs, etc.). <input type="checkbox"/> Documentation that unannounced rounds cover all shifts.	<input type="checkbox"/> Additional annual reviews. <input type="checkbox"/> Additional documentation of unannounced rounds and evidence that such rounds cover all shifts.
	§115.14 – Youthful Inmates	<input type="checkbox"/> Policy(ies) governing the housing of youthful inmates (specifically: 1) prohibiting sight, sound, and physical contact with any adult inmate and the use of isolation to comply with sight and sound separation and 2) governing sight and sound separation or direct staff supervision of youthful inmates in areas outside of housing units). <input type="checkbox"/> Daily population report for the 1 st , 15 th , and last day of the month for the past 12 months.	<input type="checkbox"/> Daily population report on the day of the audit. <input type="checkbox"/> Facility housing assignments (including housing assignments of youthful inmates). <input type="checkbox"/> Documentation of agency's best efforts to avoid placing youthful inmates in isolation if available. <input type="checkbox"/> Sample of documentation (logs or other) for service delivery to youthful inmates pursuant to this standard. <input type="checkbox"/> Sample of documentation of exigent circumstances for instances in which access to exercise, education, other programs and work opportunities was denied.
	§115.15 – Limits to Cross-Gender Viewing and	<input type="checkbox"/> Policies and procedures governing: 1) pat-down searches of female inmates and 2) strip search and	<input type="checkbox"/> Logs of strip searches and visual body cavity searches in the last 12 months (must include gender of the staff

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Searches	<ul style="list-style-type: none"> visual body cavity searches <input type="checkbox"/> Policy governing cross-gender viewing. <input type="checkbox"/> Policy prohibiting staff from searching or physically examining a transgender or intersex inmate for the sole purpose of determining the inmate’s genital status. <input type="checkbox"/> Logs of exigent circumstances, if any, that required deviance from §115.15(d) (i.e., cross-gender viewing). <input type="checkbox"/> Training curricula regarding cross-gender pat-down searches and searches of transgender and intersex inmates. <input type="checkbox"/> Staff training logs. 	<ul style="list-style-type: none"> person conducting the search and the gender of the inmate who was searched). <input type="checkbox"/> Logs of cross-gender strip and/or body cavity searches conducted in the last 12 months that were not conducted by medical staff to identify exigent circumstances, if any, documented in the log. <input type="checkbox"/> Documentation when medical practitioner conducts the search. <input type="checkbox"/> Documentation (logs) of cross-gender strip searches, cross-gender visual body cavity searches, and all cross-gender pat-down searches of female inmates. <input type="checkbox"/> Documentation of exigent circumstances where cross-gender pat-down searches of females were conducted.
§115.16 – Inmates with Disabilities and Inmates who are Limited English Proficient	<ul style="list-style-type: none"> <input type="checkbox"/> Policies/procedures regarding equal opportunity of <i>disabled inmates</i> to participate in or benefit from all aspects of the agency’s efforts to prevent, detect, and respond to sexual abuse and sexual harassment. <input type="checkbox"/> Policies/procedures regarding equal opportunity of inmates with <i>limited English proficiency</i> to participate in or benefit from all aspects of the agency’s efforts to prevent, detect, and respond to sexual abuse and sexual harassment. <input type="checkbox"/> Policy prohibiting use of inmate interpreters, inmate readers, or other types of inmate assistants except in limited circumstances. <input type="checkbox"/> Contracts with interpreters or other professionals hired to ensure effective communication with inmates. <input type="checkbox"/> Written materials used for effective communication about PREA with inmates with disabilities or limited reading skills <input type="checkbox"/> Documentation of staff training on PREA compliant practices for inmates with disabilities. 	<ul style="list-style-type: none"> <input type="checkbox"/> Documentation of circumstances when inmate interpreters, readers, and other inmate assistants were used. <input type="checkbox"/> Documentation that taking actions would result in a fundamental alteration in the nature of a service, program, or activity, or in undue financial and administrative burdens.
§115.17 – Hiring and Promotion Decisions	<ul style="list-style-type: none"> <input type="checkbox"/> Policy on promotions and hiring of employees and contractors including policies governing criminal background checks. <input type="checkbox"/> Policy governing criminal background records checks of <i>current</i> employees and contractors who may have contact with inmates. 	<ul style="list-style-type: none"> <input type="checkbox"/> Files of persons hired or promoted in the last 12 months including documentation of criminal record background checks and questions regarding past conduct were asked and answered. <input type="checkbox"/> Records of background checks of contractors who might have contact with inmates.

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		<ul style="list-style-type: none"> <input type="checkbox"/> Documentation of background records checks of current employees at five year intervals when applicable. 	
§115.18 – Upgrades to Facilities and Technology	NA	<ul style="list-style-type: none"> <input type="checkbox"/> Documentation on facility design, or renovation, modification or expansion. <input type="checkbox"/> Minutes form meetings referencing installing or updating monitoring technology. 	
RESPONSIVE PLANNING	§115.21 – Evidence Protocol and Forensic Medical Examinations	<ul style="list-style-type: none"> <input type="checkbox"/> Uniform evidence protocol governing obtaining usable physical evidence in allegations of sexual abuse. <input type="checkbox"/> If alternative source (from DOJ’s “A National Protocol for Sexual Assault Medical Forensic Examinations, Adults/Adolescents) was used to develop protocol, provide alternative source. <input type="checkbox"/> Documentation that forensic medical exams are offered for free. <input type="checkbox"/> Documentation of efforts to provide SAFEs or SANEs. <input type="checkbox"/> Documentation of agreement(s) with rape crisis center for services or documentation of efforts to secure services from rape crisis centers. <input type="checkbox"/> Documentation of staff member’s qualifications if staff member used to provide victim advocate services. <input type="checkbox"/> Any relevant documentation that a qualified agency staff member or qualified community-based organization staff member accompanied and supported the victim per standard 115.21(e), if requested by the victim. <input type="checkbox"/> If the agency is not responsible for investigating allegations of sexual abuse and relies on another agency to conduct these investigations, MOUs/agreements with the responsible agency. 	<ul style="list-style-type: none"> <input type="checkbox"/> Documentation to corroborate that all victims of sexual abuse have access to forensic medical examinations. <input type="checkbox"/> Any available documentation that delineates responsibilities of outside medical and mental health practitioners. <input type="checkbox"/> Documentation of establishment of the requirements of 115.21(a) through (e) with outside investigating agency.
	§115.22 – Policies to Ensure Referrals of Allegations for Investigations	<ul style="list-style-type: none"> <input type="checkbox"/> Policy governing criminal investigation of allegations of sexual abuse or sexual harassment. <input type="checkbox"/> If the agency is not responsible for conducting administrative or criminal investigations of alleged sexual abuse, and another State or Federal DOJ entity has that responsibility, policy governing how such investigations are conducted. 	<ul style="list-style-type: none"> <input type="checkbox"/> Documentation of reports of sexual abuse and harassment and documentation of investigations, including full investigative report with findings. <input type="checkbox"/> Documentation of referrals of allegations of sexual abuse/harassment. <input type="checkbox"/> If a separate entity is responsible for conducting criminal investigations, publication (website or paper) that describes investigative responsibilities of both the agency and the separate entity that conducts criminal

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		investigations for the agency.	
TRAINING & EDUCATION	§115.31 – Employee Training	<input type="checkbox"/> Training policy and/or procedures. <input type="checkbox"/> Staff training curriculum.	<input type="checkbox"/> Sample of training records for compliance with standard. <input type="checkbox"/> Documentation of employee signatures or electronic verification signifying comprehension of the training.
	§115.32– Volunteer and Contractor Training	<input type="checkbox"/> Training curriculum for volunteers and contractors who have contact with inmates.	<input type="checkbox"/> Sample of training records for volunteers and contractors who have contact with inmates. <input type="checkbox"/> Documentation confirming that volunteers and contractors understand the training they have received (e.g., signed acknowledgement or understanding by volunteers/contractors).
	§115.33 – Inmate Education	<input type="checkbox"/> Agency policy governing inmate education on zero tolerance policy (including current, future, and transfer inmates). <input type="checkbox"/> Agency policy governing PREA education of inmates and accessibility. <input type="checkbox"/> Sample documentation of information provided to inmates educating them on the zero tolerance policy (e.g., handbook or information sheet). <input type="checkbox"/> Documentation of inmate participation in PREA education sessions.	<input type="checkbox"/> Log or other record keeping corroborating that inmates received information required by the standard (e.g., inmate signatures). <input type="checkbox"/> Inmate handbook. <input type="checkbox"/> Intake records of inmates. <input type="checkbox"/> Inmate education and information materials. <input type="checkbox"/> Documentation of education for inmates
	§115.34 – Specialized Training: Investigations	<input type="checkbox"/> Agency training policy for investigative staff. <input type="checkbox"/> If an external State agency or DOJ component conducts investigations, policies and/or procedures governing training of those external investigators. <input type="checkbox"/> Investigator training curricula. <input type="checkbox"/> Documentation that agency investigators have completed required training. <input type="checkbox"/> Records of training of State agency or Department of Justice investigators.	<input type="checkbox"/> Training records/logs of investigative staff.
	§115.35 – Specialized training: Medical and mental health care	<input type="checkbox"/> Policy governing training of medical and mental health care practitioners around sexual abuse and sexual harassment. <input type="checkbox"/> Documentation of training showing that investigators have completed the required training.	<input type="checkbox"/> Training records/logs and personnel records of medical and mental health care practitioners. <input type="checkbox"/> Exam logs. <input type="checkbox"/> Forensic exam training curriculum. <input type="checkbox"/> List of all medical staff and if applicable, contract medical staff at facility (auditor will request sample of training logs to review).

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SCREENING FOR RISK OF SEXUAL VICTIMIZATION & ABUSIVENESS	<p>§115.41 – Screening for Risk of Victimization and Abusiveness</p> <ul style="list-style-type: none"> □ Agency policy governing screening of inmates (upon admission to a facility or transfer to another facility and reassessments). □ Screening instrument used to determine risk of victimization or abusiveness. □ Records of initial assessment and reassessment for risk of sexual victimization or abusiveness. 	<ul style="list-style-type: none"> □ Records for inmates admitted to the facility within the past 12 months for evidence of appropriate screening. □ Records of reassessment within the past 12 months for risk of sexual victimization or abusiveness. □ Sample of records of inmates who have been victims or perpetrators of sexual abuse for confirmation of reassessment.
	<p>§115.42 – Use of Screening Information</p> <ul style="list-style-type: none"> □ Any relevant policy(ies) governing the use of screening information to (1) make individualized determinations to ensure the safety of inmates and (2) make housing and program assignments for transgender or intersex inmates in a facility on a case-by-case basis. □ Documentation of use of screening information to inform housing, bed, work, education, and program assignments with the goal of keeping separate those inmates with a high risk of being sexually victimized from those at high risk of being sexually abusive. □ Documentation of <i>how</i> decisions are made. 	<ul style="list-style-type: none"> □ Documentation of risk-based housing decisions. □ Documentation of reassessment of programming assignments for each transgender or intersex inmates for compliance with the standard. □ Documentation of housing assignments of inmates identified to be lesbian, gay, bisexual, transgender, or intersex for compliance with the standard. □ If a consent decree, legal settlement, or legal judgment requires the facility to establish a dedicated facility, unit, or wing for lesbian, gay, bisexual, transgender, or intersex inmates provide the title, status, and finds of each decree, settlement, or judgment.
	<p>§115.43 – Protective Custody</p> <ul style="list-style-type: none"> □ Policy governing involuntary segregated housing for inmates at high risk for sexual victimization. □ Documentation of 30 day reviews for compliance with the standard. 	<ul style="list-style-type: none"> □ Records and documentation of housing assignments of inmates at high risk of sexual victimization. □ Documentation of in-cell AND out of cell programs, privileges, education and work opportunities for inmates in segregated housing for protective custody. □ Documentation of restricted access to programs, privileges, education, or work opportunities that includes what opportunities have been limited, the duration of such limitations, and the reasons for the limitations. □ Records for length of placement in segregated housing for those at risk of sexual victimization. □ Case files of inmates at risk of sexual victimization held in involuntary segregated housing in the past 12 months.

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REPORTING	<p>§115.51 – Inmate Reporting</p> <ul style="list-style-type: none"> □ Policies, procedures, documents (e.g., inmate handbooks) to identify different established procedures allowing for multiple internal ways for inmates to report per the standard. □ Agency policy providing at least one way for inmates to report abuse or harassment to a public or private entity or office that is not part of the agency. □ Agency policy requiring inmates detained solely for civil immigration purposes be provided information on how to contact relevant consular officials and relevant officials of the Department of Homeland Security. □ Policy mandating that staff accept reports made verbally, in writing, anonymously and from third parties. □ Policies or procedures for staff to privately report sexual abuse and sexual harassment of inmates. □ Documentation of agreement with outside public or private entity responsible for taking reports. □ Documentation (e.g., inmate handbooks) regarding agency policy mandating that staff accept reports of sexual assault and sexual harassment made verbally, in writing, anonymously and from third parties. □ Documentation of verbal reports of sexual assault and sexual harassment. □ Documents, such as staff handbooks, outlining procedures for staff to privately report sexual abuse and sexual harassment of inmates. 	<ul style="list-style-type: none"> □ Information provided to inmates detained solely for civil immigration purposes.
	<p>§115.52 – Exhaustion of Administrative Remedies</p> <ul style="list-style-type: none"> □ Agency policy or procedure in regards to inmate grievances of sexual abuse. □ Policy and procedures for filing an emergency grievance alleging that an inmate is subject to a substantial risk of imminent sexual abuse. □ Policy limiting the agency’s ability to discipline an inmate for filing a grievance related to alleged sexual abuse to occasions where the agency demonstrates that the inmate filed the grievance in bad faith. □ Supporting logs/records of cases, in the past 12 months, alleging sexual abuse that involved an 	<ul style="list-style-type: none"> □ Inmate handbook to determine that relevant information is provided □ Documentation of grievances that alleged sexual abuse and final decisions. □ Documentation of third-party reports and declination of third-party assistance. □ Documentation of emergency grievances filed pursuant to this standard. □ Documentation of disciplinary actions by the agency against inmates for having filed the grievance in bad faith in the past 12 months.

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	<ul style="list-style-type: none"> extension because final decision was not reached within 90 days. <input type="checkbox"/> Documentation of written notifications of extensions. 		
§115.53 – Inmate Access to Outside Confidential Support Services	<ul style="list-style-type: none"> <input type="checkbox"/> Policies and/or procedures governing inmate access to outside victim advocates for emotional support services related to sexual abuse. <input type="checkbox"/> Inmate handbooks or written materials prepared for inmates pertinent to reporting sexual abuse and access to support services. <input type="checkbox"/> MOUs or other agreements with community service providers that are able to provide inmates with emotional support services related to sexual abuse. <input type="checkbox"/> Documentation of attempts to enter into agreements. 	NA	
§115.54 – Third-Party Reporting	<ul style="list-style-type: none"> <input type="checkbox"/> Publicly distributed information on how to report inmate sexual abuse or sexual harassment on behalf of inmates. 	NA	
OFFICIAL RESPONSE FOLLOWING AN INMATE REPORT	§115.61 – Staff and Agency Reporting Duties	<ul style="list-style-type: none"> <input type="checkbox"/> Relevant policy(ies) governing the reporting by staff regarding incidents of sexual abuse or sexual harassment. 	<ul style="list-style-type: none"> <input type="checkbox"/> Documentation of any such reports. <input type="checkbox"/> Sample of reports to investigators.
	§115.62 – Agency Protection Duties	<ul style="list-style-type: none"> <input type="checkbox"/> Relevant policy(ies) governing the agencies protection duties when inmates are subject to a substantial risk of imminent sexual abuse. <input type="checkbox"/> Relevant documentation related to the determination of inmates’ substantial risk of imminent sexual abuse and agency response. 	NA
	§115.63 – Reporting to Other Confinement Facilities	<ul style="list-style-type: none"> <input type="checkbox"/> Agency policy regarding reporting of allegations of sexual abuse of inmates while confined at another facility. <input type="checkbox"/> Agency policy regarding the investigation of allegations of sexual abuse of inmates received from other facilities/agencies. <input type="checkbox"/> Documentation of notifications of abuse while confined at another facility. 	<ul style="list-style-type: none"> <input type="checkbox"/> Documentation of allegations that an inmate was abused while confined at another facility. <input type="checkbox"/> Additional documentation of notifications of abuse while confined at another facility <input type="checkbox"/> Documentation of allegations from other facilities and documentation of response.
	§115.64 – Staff First Responder Duties	<ul style="list-style-type: none"> <input type="checkbox"/> Agency policy governing staff first responder duties. 	<ul style="list-style-type: none"> <input type="checkbox"/> Documentation of response to allegations by first responders. <input type="checkbox"/>
	§115.65 – Coordinated Response	<ul style="list-style-type: none"> <input type="checkbox"/> Written institutional plan to coordinate actions taken in response to an incident of sexual abuse, among staff 	NA

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	first responders, medical and mental health practitioners, investigators, and facility leadership.		
§115.66 – Preservation of ability to protect inmates from contact with abusers	<input type="checkbox"/> All collective bargaining agreements or other agreements entered into or renewed since August 20, 2012, or since the last PREA audit, whichever is later.	NA	
§115.67 – Agency protection against retaliation	<input type="checkbox"/> Agency policy protecting all inmates and staff who report sexual abuse or sexual harassment or cooperate with sexual abuse or sexual harassment investigations from retaliation by other inmates or staff, including policies on the monitoring of inmates/staff following a report and agency response to suspected retaliation.	<input type="checkbox"/> Documentation of any protective measures taken. <input type="checkbox"/> Documentation of any monitoring efforts for inmates or staff. <input type="checkbox"/> Documentation of incidents of retaliation and agency response.	
§115.68 – Post-Allegation Protective Custody	<input type="checkbox"/> Documentation of instances when segregated housing was used to protect an inmate who is alleged to have suffered sexual abuse.	NA	
INVESTIGATIONS	§115.71 – Criminal and Administrative Agency Investigations	<input type="checkbox"/> Agency/facility policies governing the handling of criminal and administrative agency investigations in cases where sexual abuse is alleged. <input type="checkbox"/> Any documentation confirming that a State entity or Department of Justice component is aware of requirements of the standard.	<input type="checkbox"/> Where sexual abuse is alleged, documentation of copies of investigative reports, record retention schedule, and case records detailing allegations of sexual abuse. <input type="checkbox"/> Sample of administrative investigation reports. <input type="checkbox"/> Sample of criminal investigative reports. <input type="checkbox"/> Sample of cases referred for prosecution.
	§115.72 – Evidentiary Standard for Administrative Investigations	<input type="checkbox"/> Any policy(ies) imposing an evidentiary standard for determining whether allegations of sexual abuse or sexual harassment are substantiated.	<input type="checkbox"/> Documentation of administrative findings for proper standard of proof.
	§115.73 – Reporting to Inmates	<input type="checkbox"/> Agency policy governing the reporting to inmates, who make a sexual abuse allegation following an investigation by the agency, the determination of the investigation. <input type="checkbox"/> Agency policy requiring notifications be documented. <input type="checkbox"/> Sample of investigations of alleged sexual abuse complaints completed <i>by agency investigators</i> . <input type="checkbox"/> Sample of investigations of alleged sexual abuse complaints completed <i>by outside agency</i> . <input type="checkbox"/> Sample documentation of any founded complaints. <input type="checkbox"/> Sample documentation of notifications pursuant to the requirements of this standard (see 115.73 (c) and (d)).	<input type="checkbox"/> Additional sample of investigations of alleged sexual abuse complaints completed <i>by agency investigators</i> . <input type="checkbox"/> Additional sample of investigations of alleged sexual abuse complaints completed <i>by outside agency</i> . <input type="checkbox"/> Additional documentation of any founded complaints. <input type="checkbox"/> Additional documentation of notifications pursuant to the requirements of this standard. <input type="checkbox"/> Logs of inmate notifications.

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DISCIPLINE	§115.76 – Disciplinary sanctions for staff <ul style="list-style-type: none"> <input type="checkbox"/> Staff disciplinary policy(ies) regarding violations of agency sexual abuse or sexual harassment policies <input type="checkbox"/> Sample records of terminations, resignations, or other sanctions against staff for violating agency sexual abuse or sexual harassment policies from the past twelve months. 	<ul style="list-style-type: none"> <input type="checkbox"/> Additional sample of records of terminations, resignations, or other sanctions against staff for violating agency sexual abuse or sexual harassment policies from the past twelve months. <input type="checkbox"/> Records of disciplinary sanctions taken against staff for violations of the agency sexual abuse or sexual harassment policies from the past twelve months. <input type="checkbox"/> Records of reports to law enforcement and/or licensing boards for violations of agency sexual abuse or sexual harassment policies from the past twelve months
	§115.77 – Corrective action for contractors and volunteers <ul style="list-style-type: none"> <input type="checkbox"/> Policy(ies) governing contractors and volunteers related to violations of agency sexual abuse or sexual harassment policies. <input type="checkbox"/> Reports of sexual abuse by contractors or volunteers <input type="checkbox"/> Documentation of remedial measures taken to prohibit further contact with inmates in the case of any other violation of agency sexual abuse or sexual harassment policies by a contractor or volunteer. 	<ul style="list-style-type: none"> <input type="checkbox"/> Documentation of referrals to law enforcement and/or relevant licensing bodies. <input type="checkbox"/> Investigative reports if relevant.
	§115.78 – Disciplinary sanctions for inmates <ul style="list-style-type: none"> <input type="checkbox"/> Policy(ies) governing disciplinary sanctions for inmates (engaged in inmate-on-inmate sexual abuse, inmate sexual conduct with staff, and/or sexual activity between inmates). <input type="checkbox"/> Sample of records of disciplinary actions against inmates for sexual conduct with staff. 	<ul style="list-style-type: none"> <input type="checkbox"/> Additional records of disciplinary actions against inmates for sexual conduct with staff. <input type="checkbox"/> Investigative reports and documentation of sanctions imposed.

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MEDICAL AND MENTAL CARE	§115.81 – Medical and mental health screenings; history of sexual abuse <ul style="list-style-type: none"> □ Policies and procedures governing the facility response (including follow-up meetings and other services with a medical or mental health practitioner) for inmates who indicate a prior history of sexual victimization or sexual abusiveness towards others □ Secondary materials (e.g., form, log) documenting compliance with required services □ Sample of inmate confinement records/other records available to custody staff or non-health personnel □ Consent documentation/logs obtained from inmates over age 18 by medical/mental health practitioners before reporting information about prior sexual victimization that did not occur in an institutional setting. 	<ul style="list-style-type: none"> □ Additional medical/mental health secondary materials (e.g., form, log) documenting compliance with required services. □ Additional sample of inmate confinement records/other records available to custody staff or non-health personnel.
	§115.82 – Access to emergency medical and mental health services <ul style="list-style-type: none"> □ Policies and procedure regarding access to treatment services by inmate victims of sexual abuse. □ Sample of secondary materials (e.g., form, log) documenting compliance with required services per the standard. 	<ul style="list-style-type: none"> □ Documentation demonstrating immediately notifying the appropriate medical and mental health practitioners. □ Additional medical/mental health secondary forms/logs re: access to services.
	§115.83 – Ongoing medical and mental health care for sexual abuse victims and abusers <ul style="list-style-type: none"> □ Policies and procedures governing ongoing medical and mental health care for sexual abuse victims and abusers. 	<ul style="list-style-type: none"> □ Medical records or secondary documentation that demonstrates victims receive as appropriate, follow-up services, treatment plans, and , when necessary, referrals for continued care following their transfer to, or placement in, other facilities, or their release from custody. □ Medical records or secondary documentation that demonstrates victims receive medical and mental health services consistent with community level of care. □ Medical records or secondary documentation that demonstrates victims were offered pregnancy test. □ Medical records or secondary documentation that demonstrate victims are offered tests for sexually transmitted infections as medically appropriate. □ Mental health records or secondary documentation that demonstrate evaluation of inmate-on-inmate abusers.

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DATA COLLECTION AND REVIEW	§115.86 – Sexual abuse incident reviews <ul style="list-style-type: none"> <input type="checkbox"/> Policies on conducting sexual abuse incident reviews. <input type="checkbox"/> Documentation of sexual abuse incident reviews. <input type="checkbox"/> Sample documentation of completed administrative investigations of alleged sexual abuse incidents. <input type="checkbox"/> Reports of findings from sexual assault incident reviews. <input type="checkbox"/> Documentation supporting implementation of recommendations or documentation of reasons for not implementing recommendations. 	<ul style="list-style-type: none"> <input type="checkbox"/> Additional documentation of completed administrative investigations of sexual abuse. <input type="checkbox"/> Documentation of review team minutes or reports. <input type="checkbox"/> Additional reports of findings from sexual abuse incident reviews.
	§115.87 – Data Collection <ul style="list-style-type: none"> <input type="checkbox"/> Policy for collecting data on sexual abuse allegations at facilities. <input type="checkbox"/> Data collection instrument used for collecting data on sexual abuse allegations at facilities. <input type="checkbox"/> Set of definitions used for collecting data on sexual abuse allegations at facilities. 	<ul style="list-style-type: none"> <input type="checkbox"/> Sample of aggregated and incident-based sexual abuse data. <input type="checkbox"/> Sample of incident-based and all aggregate data from every private facility with which the agency contracts for the confinement of its inmates (if applicable).
	§115.88 – Data Review for Corrective Action <ul style="list-style-type: none"> <input type="checkbox"/> Documentation of corrective action plans. <input type="checkbox"/> Annual reports of findings from data reviews/corrective actions. <input type="checkbox"/> Link to website where annual report is available. 	<ul style="list-style-type: none"> <input type="checkbox"/> Additional documentation of corrective action plans.
	§115.89 – Data Storage, Publication, and Destruction <ul style="list-style-type: none"> <input type="checkbox"/> Policy on storage of data on sexual abuse allegations at facilities. <input type="checkbox"/> Policy on availability of aggregated sexual abuse data from facilities. <input type="checkbox"/> Federal, State or local law requiring the agency maintain sexual abuse data collected for a time period that is different than required by the standard (which requires it be maintained for at least 10 years). 	<ul style="list-style-type: none"> <input type="checkbox"/> Website or other means for publicly available aggregated sexual abuse data. <input type="checkbox"/> Sample of publicly available sexual abuse data. <input type="checkbox"/> Historical data since August 20, 2012.